

07-11-00

A

07/10/00  
JC787 U.S. PRO**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: Gary A. Lebens

Title: METHOD AND APPARATUS FOR ADJUSTING ILLUMINATION ANGLE

Attorney Docket No.: 139.060US1

JC815 U.S. PRO  
09/612763  
07/10/00**PATENT APPLICATION TRANSMITTAL****BOX PATENT APPLICATION**Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- X Return postcard.
- X Utility Patent Application under 37 CFR § 1.53(b) comprising:
- X Specification ( 24 pgs, including claims numbered 1 through 23 and a 1 page Abstract).
  - X Formal Drawing(s) ( 5 sheets).
  - X Signed Combined Declaration and Power of Attorney ( 3 pgs).
  - X Assignment of the invention to PPT Vision, Inc. ( 2 pgs) and Recordation Form Cover Sheet. (FEE TO BE CHARGED TO DEPOSIT ACCT. NO. 19-0743.)
  - Small Entity Statement ( 1 pg).

The filing fee has been calculated below as follows:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	23 - 20 =	3	x 9 =	\$27.00
INDEPENDENT CLAIMS	4 - 3 =	1	x 39 =	\$39.00
[ ] MULTIPLE DEPENDENT CLAIMS PRESENTED				\$0.00
BASIC FEE				\$345.00
TOTAL				\$411.00

Please charge the filing fee of \$411.00 and any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Charles A. Lemaire  
Atty: Charles A. Lemaire  
Reg. No. 36,198

Customer Number **21186**"Express Mail" mailing label number: EL584210591USDate of Deposit: July 10, 2000 (Monday)

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

By: Candy BuendingSignature: Candy Buending

**SMALL BUSINESS****VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

a) ☐ the owner of the small business concern identified below:b) ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: PPT Vision, Inc.  
 ADDRESS OF CONCERN: 12988 Valley View Road  
Eden Prairie, MN 55344

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.3-18, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled METHOD AND APPARATUS FOR ADJUSTING ILLUMINATION ANGLE by inventor Gary A. Lehen described in the specification filed herewith.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. 1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention according to their status as small entities. (37 C.F.R. 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

a) ☐ INDIVIDUALb) ☐ SMALL BUSINESS CONCERNc) ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereof, or any patent to which this verified statement is directed.

NAME Larry G. PaulsonTITLE Vice PresidentADDRESS 12988 Valley View Road, Eden Prairie, MN 55344SIGNATURE Larry G. PaulsonDATE 7/10/2000

1000000  
 900000  
 800000  
 700000  
 600000  
 500000  
 400000  
 300000  
 200000  
 100000  
 0  
 1980 1981 1982 1983 1984 1985 1986 1987 1988 1989 1990 1991 1992 1993 1994 1995 1996 1997 1998 1999 2000 2001 2002 2003 2004 2005 2006 2007 2008 2009 2010 2011 2012 2013 2014 2015 2016 2017 2018 2019 2020 2021 2022 2023 2024 2025 2026 2027 2028 2029 2030

**INVENTOR**

Schwegman, Lundberg, Woessner, & Kluth, P.A.  
1600 TCF Tower  
121 South Eighth Street  
Minneapolis, Minnesota 55402  
ATTORNEY DOCKET 139.060us1

## **METHOD AND APPARATUS FOR ADJUSTING ILLUMINATION ANGLE**

5

### **Field of the Invention**

The present invention generally concerns machine-vision, particularly systems and methods for lighting/illuminating objects in machine-vision systems.

### **Copyright Notice/Permission**

10

A portion of the disclosure of this patent document contains material which is subject to copyright protection. The copyright owner has no objection to the facsimile reproduction by anyone of the patent document or the patent disclosure as it appears in the Patent and Trademark Office patent file or records, but otherwise reserves all copyright rights whatsoever. The following notice applies to the software and data as described below and in the drawings hereto: Copyright © 1998-2000, PPT Vision, Inc., All Rights Reserved.

15

### **Related Applications**

This invention is related to:

20

U.S. Patent Application Serial No. 09/350,051, entitled "CIRCUIT FOR MACHINE-VISION SYSTEM" (Attorney Docket No. 139.040US1), filed July 8, 1999,

U.S. Patent Application Serial No. 09/350,050, entitled "MACHINE-VISION SYSTEM AND METHOD FOR RANDOMLY LOCATED PARTS"

25

(Attorney Docket No. 139.041US1), filed July 8, 1999,

U.S. Patent Application Serial No. 09/350,255, entitled "PARTS MANIPULATION AND INSPECTION SYSTEM AND METHOD" (Attorney Docket No. 139.042US1), filed July 8, 1999,

U.S. Patent Application Serial No. 09/349,684, entitled "MACHINE-VISION

SYSTEMS AND METHODS WITH UP AND DOWN LIGHTS” (Attorney  
Docket No. 139.052US1), filed July 8, 1999,

U.S. Patent Application Serial No. 09/349,948, entitled “METHOD AND  
APPARATUS TO CALCULATE BGA BALL TOPS” (Attorney Docket  
5 No. 139.055US1), filed July 8, 1999,

U.S. Patent Application Serial No. 09/350,049, entitled “COMBINED 3D- AND  
2D-SCANNING MACHINE-VISION SYSTEM AND METHOD”  
(Attorney Docket No. 139.056US1), filed July 8, 1999,

U.S. Patent Application Serial No. 09/350,037, entitled “MACHINE-VISION  
10 SYSTEM AND METHOD HAVING A SINE-WAVE PROJECTION  
PATTERN” (Attorney Docket No. 139.057US1), filed July 8, 1999,

U.S. Patent Application Serial No. 09/350,251, entitled “TRAY FLIPPER AND  
METHOD FOR PARTS INSPECTION” (Attorney Docket No.  
139.059US1), filed July 8, 1999,

U.S. Patent Application Serial No. 09/\_\_\_\_,\_\_\_\_, entitled “BINARY GRATING AND  
15 METHOD FOR GENERATING A MOIRE PATTERN FOR 3D  
IMAGING” (Attorney Docket No. 139.066US1), filed June 20, 2000,

U.S. Patent Application Serial No. 09/\_\_\_\_,\_\_\_\_, entitled “PRECISION 3D  
SCANNER BASE AND METHOD FOR MEASURING  
20 MANUFACTURED PARTS” (Attorney Docket No. 139.067US1), filed on  
June 20, 2000,

U.S. Patent Application Serial No. 09/\_\_\_\_,\_\_\_\_, entitled “3D SCANNER AND  
METHOD FOR MEASURING HEIGHTS AND ANGLES OF  
25 MANUFACTURED PARTS” (Attorney Docket No. 139.068US1), filed on  
June 20, 2000,

which are all assigned to a common assignee, and which are incorporated herein by  
reference.

### **Background of the Invention**

There is a widespread need for inspection data for electronic parts in a manufacturing environment. Machine-vision systems have become vital to many complex manufacturing processes, particularly for quality control. For example, during the manufacture of integrated circuits which contain millions of transistors and other electrical components, machine-vision systems visually inspect the circuits at various manufacturing stages for surface blemishes or other defects, rejecting or accepting circuits based on appearance.

Machine-vision systems typically includes an imaging device, such as an electronic camera and an illumination system, which illuminates an object for the camera. The typical illumination system, generally designed to illuminate all sides of an object simultaneously, comprises some form of a circular ring of lights, for example a ring-shaped flashtube, a ring of light-emitting diodes, or a ring of optical fibers. The circular ring of lights usually lies between the camera and object, with the camera looking down through the ring to the object and the lights oriented down and inward to the object.

Conventional illumination systems suffer from at least two major problems. First, they lack a convenient way for varying the angle of illumination, that is, the angle light strikes an object. Conventional illumination systems require technicians to manually adjust orientation of the complete ring of lights or to manually adjust orientation of its individual lights. However, manual adjustments are not only time consuming, but often lead to angular variations which compromise consistency of machine-vision systems. Second, conventional systems lack convenient way of switching from one illumination mode to another, for example, from a particular selected angle of illumination to a multi-directional object illumination, such as "cloudy-day illumination." Some select-angle illumination modes are better for viewing scratches, while cloudy-day illumination is better for specular or irregular surfaces. This lack of a convenient way of switching illumination modes often leads to use of more than one machine-vision system, and thus forces manufacturers to

buy separate systems, to use human inspectors, or to skip inspection for some types of defects. Accordingly, there is a need for better illumination systems for machine-vision systems.

### **Summary of the Invention**

In the context of a machine-vision system for inspecting a part, this invention includes method and apparatus to provide high-speed changing and/or automatic adjustment of illumination angle, dispersion, intensity, and/or color of illumination in a machine vision system.

One aspect of the present invention provides a machine-vision system having an optical axis. This system includes a light source emitting light having a polarization, a machine-vision imager that obtains an image of an object illuminated by the light, a processor coupled to receive an image from the imager, and operative to generate a quality parameter based on the image, and one or more of the various means as described above for selectively directing the light in a predetermined pattern based on its polarization and based on the quality parameter of the image.

Another aspect of the present invention provides a machine-vision system having an optical axis. This system includes a machine-vision imager located along the optical axis, a controllable light source, a first optical element that selectively directs light in a first predetermined pattern relative to the optical axis based on light characteristics, a second optical element, that directs light in a second predetermined pattern relative to the optical axis, and an electronic controller operatively coupled to the imager and the controllable light source to control the light characteristics and thereby selecting one or more of the first and second predetermined patterns.

Another aspect of the present invention provides an illumination method that includes emitting light, selectively polarizing the light, and selectively directing the light based on its polarization.

In some embodiments, the selectively directing provides two or more different angles of illumination (e.g., alpha and/or beta). In some such

embodiments, the angle is a conical angle of a ring illumination.

Yet another aspect of the present invention provides a machine-vision method for inspecting an object. This method includes (a) setting one or more illumination parameters, (b) illuminating the object based on the one or more illumination parameters, (c) obtaining an image of the illuminated object, (d) generating a quality parameter based on an image quality of a predetermined region of interest in the image, and (e) iterating (b), (c), and (d) using a different illumination parameter. In some embodiments of this method, the iterating is based on the quality parameter. In some embodiments, the one or more illumination parameters includes a predetermined azimuth angle of illumination. In some embodiments, the one or more illumination parameters includes a predetermined compass angle of illumination. In some embodiments, the one or more illumination parameters includes a predetermined compass angle of illumination.

### **Brief Description of the Drawings**

- FIG. 1 shows a cross-section side-view schematic of an embodiment of the present invention, an electronically-controlled variable-angle machine-vision illumination system 100.
- FIG. 2 shows a cut-away side-view schematic of another embodiment of the present invention, an electronically-controlled variable-angle machine-vision illumination system 200.
- FIG. 3 shows a cut-away side-view schematic of yet another embodiment of the present invention, an electronically-controlled variable-angle machine-vision illumination system 300.
- FIG. 4 shows a cut-away side-view schematic of yet another embodiment of the present invention, an electronically-controlled variable-angle machine-vision illumination system 400.
- FIG. 5 shows a flowchart schematic of yet another embodiment of the present invention, an electronically-controlled variable-angle machine-vision



illumination method 500.

### **Detailed Description of the Preferred Embodiment**

In the following detailed description of the preferred embodiments, reference is made to the accompanying drawings which form a part hereof, and in which are shown by way of illustration specific embodiments in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural changes may be made without departing from the scope of the present invention.

Machine-vision and optical-feature-recognition techniques can be used to distinguish parts that deviate from a predetermined intended aspect of an ideal device. In this description, a “device” is meant to be any part, device of manufacture or object, for example an integrated circuit package, electronic part, semiconductor, molded plastic part, aluminum wheel, gemstone or even an egg or strawberry, which can be inspected. Typically, according to the present invention, a manufacturing operation will use geometric information about the parts acquired from machine-vision inspection of the device to distinguish “good” devices from “bad” devices, and can discard the bad devices and insert previously inspected good devices in their place, for example to obtain a tray of all-good devices. In some embodiments, the devices under test are placed into pocketed trays or into cartons for ease of handling and transport, and inspection will take place of the devices while the devices are in the pocketed trays, according to the present invention. In other embodiments, a parts holder having a clamping mechanism is used to hold a plurality of parts, such as disk suspensions, to an inspection-station fixture.

Figure 1 shows a cross-sectional block diagram of an exemplary machine-vision system 100 embodying teachings and principles of the present invention. System 100 includes an analog or digital processor 102, an analog or digital imager 104 having an optical axis 105, and a symmetric illumination system 106 (including left and right halves 106a and 106b) that illuminates object 150 at

selectable angles  $\alpha$  and  $\beta$  relative to axis 105. Each half (106a and 106b) of illumination system 106 includes a light source 108, a polarizer 110, a first liquid-crystal panel 112, a first polarized reflector 114, a second liquid-crystal panel 116, and a second polarized reflector 118. (The ellipsis 120 indicates that the exemplary embodiment includes further liquid-crystal panels and corresponding polarized reflectors.)

In operation, light source 108 emits light to polarizer 110, which polarizes the light in a select direction. Polarized light exits to liquid-crystal panel 112 which may or may not alter the polarization of the polarized light, depending on applied electrical stimulus. Light exiting panel 112 is reflected by or transmitted through polarized reflector 114 depending on its polarization. Reflector 114 is oriented to reflect appropriately polarized light toward object 150 at a first predetermined angle  $\alpha$  relative to axis 105.

Light that is not polarized for reflection by reflector 114 passes to second liquid-crystal panel 116 which with appropriate electrical stimulus changes polarization of incoming light. Light exiting panel 116 strikes polarized reflector 118, which reflects or transmits it, based on its polarization. Reflector 118 is oriented to reflect appropriately polarized light toward object 150 at a second predetermined angle  $\beta$  relative to axis 105.

Thus, using a light source, and one or more sets of electrically controllable polarizers and polarized reflectors, one can select various angles of illumination for objects in a machine-vision system. Thus, without physically changing optical components, one can quickly and easily change the illumination angle to obtain images that improve contrast and/or clarity of features of interest on object 150. In some cases, highly reflective or specular features can be better imaged if the light is at a particular angle that either enhances or suppresses reflections from such surfaces to the camera 104. In other cases, fine machining marks (e.g., polishing lines) will show clearly if the light is at a particular angle, and depending on whether the user wants to enhance or suppress such marks on the

image, a different angle will be chosen.

In some embodiments, optical element 110 and optical element 112 together combine to form an electrically controllable polarizer 111, wherein the angle of polarization can be electronically controlled by processor 102. Thus, if controllable polarizer 111 sets a first angle of polarization that matches that which is reflected by polarized reflector 114, then illumination angle  $\alpha$  ( $\alpha$ ) is obtained. On the other hand, if the angle of polarization generated by controllable polarizer 111 matches that which is transmitted by polarized reflector 114, then, in some embodiments (i.e., those that omit LCD 116 and have reflector 118 totally reflecting), the transmitted light is reflected by reflector 118 with illumination angle  $\beta$  ( $\beta$ ). In other embodiments that include LCD 116, the polarizer 110, LCD 114 and LCD 116 together form an electrically controllable polarizer for the light directed to reflector 118 (and optionally further elements 120).

In some embodiments, illumination source 106 is circularly symmetric, having a ring light source 108, a ring polarizer 110, a ring liquid-crystal device (LCD) 112, a conical section angled polarized reflector/transmitter 114, a second ring LCD 116, and a second conical section angled polarized reflector/transmitter 114. In some embodiments, further pairs of LCDs and angled reflectors (shown as ellipses 120) are included. In some embodiments, LCD 116 is omitted for the outermost ring, and reflector 118 is maximally reflective for all incident light. In other embodiments, further polarizers 116 and reflectors 118 are included to provide yet other angles of illumination light. In some embodiments, each ring or conical section is centered about optical axis 105. In such embodiments, selectable illumination angles  $\alpha$  and  $\beta$  are conical angles relative to the optical axis 105 that represent the conical surface that the illumination is centered about. In some such embodiments, light source 108 is one or more rows of light-emitting diodes (LEDs) mounted facing radially outward on a cylindrical surface. In some embodiments, the LEDs of light source 108 are divided into banks, wherein each bank is separately driven (e.g., eight banks, wherein each bank represents a forty-five-degree section of

the ring, for example the eight compass directions N, NE, E, SE, S, SW, W, and NW -- wherein compass angle is defined as an angle in a direction circumferential to the optical axis), allowing further selection of the compass angle using the LED drivers, as well as the azimuth angle selected by the LCDs and polarized reflector(s) as described above.

In some embodiments, banks of LEDs are organized such that different banks have different colors of light. In some such embodiments, an image is obtained at each of a plurality of colors, the quality of the images is determined by image processor 202, and the color (or combination of colors) that yields the best image is used.

In some embodiments, banks of LEDs are organized such that different banks have different dispersion angles light (e.g., using LEDs having different emission angles). In some such embodiments, an image is obtained at each of a plurality of dispersion angles, the quality of the images is determined by image processor 202, and the parameter that yields the best image is used.

In some embodiments, banks of LEDs are organized such that different banks have different colors of light. In some such embodiments, an image is obtained at each of a plurality of colors, the quality of the images is determined by image processor 202, and the color that yields the best image is used.

In other embodiments, each component of Figure 1 is a straight plane extending into the drawing sheet, such that illumination source 106 includes two linear halves, each having a straight-line or plane light source 108, a plane polarizer 110, a plane liquid-crystal device (LCD) 112, a plane angled polarized reflector/transmitter 114, a second plane LCD 116, and a second plane angled polarized reflector/transmitter 114. In some embodiments, further pairs of LCDs and angled reflectors (shown as ellipses 120) are included. In some embodiments, for the outermost section, LCD 116 is omitted and reflector 118 reflects all incident light.

In yet other embodiments, each component of Figure 1 is a straight plane

section of a polygon centered about optical axis 105, such that illumination source 106 includes a plurality of sections, each having a straight-line or plane light source 108, a plane polarizer 110, a plane liquid-crystal device (LCD) 112, a plane angled polarized reflector/transmitter 114, a second plane LCD 116, and a second plane angled polarized reflector/transmitter 114. In some embodiments, further pairs of LCDs and angled reflectors (shown as ellipses 120) are included. In some embodiments, for the outermost section, LCD 116 is omitted and reflector 118 reflects all incident light.

Figure 2 shows a cut-away side-view schematic of another embodiment of the present invention, an electronically-controlled variable-angle machine-vision illumination system 200. In some embodiments, illumination system 200 includes a flat ring light source 208 having one or more concentric rows of LEDs, a flat ring liquid-crystal device 212, and a concentric angular refraction element 214 that includes concentric Fresnel grooves concentric prisms, or a concentric hologram. The ring LCD 212 includes a plurality of separately drivable LCD ring patterns, each driven by LCD angle driver 224, such that one or more concentric rings is transparent to the light from light source 208, while other concentric rings of LCD 212 are opaque or reflective to the incident illumination. Thus, in some embodiments, each ring of prisms 214 (or Fresnel grooves or holographic deflectors rings) refracts the light incident to it at a different conical angle, and the rings of LCD 212 select which ring of prism 214 will get light. One or more of the concentric LCD rings of 212 are dedicated to each of the plurality of conical angles that may be illuminated, and one or more of the conical angles may be activated simultaneously. This allows angle driver 224 to select which azimuth angle or angles of illumination will be activated. In some embodiments, one or more concentric rings of LCD 212 will be driven to be in a substantially transparent state, and zero or more other rings of LCD 212 will be driven to be in a substantially reflective state, and the substrate of light source 208 (i.e., the surface under and around the LEDs) is made reflective, such that the light reflected by LCD rings in a

reflective state has another chance to go through those ring sections that are transparent to be sent to device 150 at the desired angle(s).

In some embodiments, illumination driver 222 provides a short pulse of current to all the LEDs at once (e.g., providing a cone of illumination or illumination centered on one or more conical sections). In some embodiments, illumination driver 222 includes drivers such as described in U.S. Patent Application Serial No. 09/349,684, entitled "MACHINE-VISION SYSTEMS AND METHODS WITH UP AND DOWN LIGHTS" (Attorney Docket No. 139.052US1), filed July 8, 1999, and/or U.S. Patent 5,745,176 entitled MACHINE VISION ILLUMINATION SYSTEM AND METHOD" ISSUED April 28, 1998 (both incorporated by reference). In some other embodiments, the LEDs of light source 208 are divided into banks, wherein each bank is separately driven by illumination driver 222 (e.g., eight banks, wherein each bank represents a forty-five-degree section of the ring, for example the eight compass directions N, NE, E, SE, S, SW, W, and NW), allowing further selection of the compass angle of illumination using the LED drivers, as well as the azimuth angle selected by the LCDs 212 and refractor ring(s) as described above.

Figure 3 shows a cut-away side-view schematic of yet another embodiment of the present invention, an electronically-controlled variable-angle machine-vision illumination system 300. In some embodiments, system 300 represents a detailed view of system 200 of Figure 2. Thus, in these embodiments, LCDs 310 include a plurality of concentric ring LCD areas (shown are rings 301, 302, 303, 304, 305, 306, 307, and 308), each ring area driven by one of the drivers 324. In the embodiment shown, selector 329 selects one or more individual drivers 325, 326, 327, and/or 328. Driver 325 drives LCD ring sections 304 and 308; driver 326 drives LCD ring sections 301 and 305; driver 327 drives LCD ring sections 302 and 306; driver 328 drives LCD ring sections 303 and 307. In some embodiments, further concentric rings are added to each of these four sets. In other embodiments, more or fewer sets are provided, and more or fewer LCD areas are included in each

set. Since LCD drivers 324 can drive one or more LCD sets, one or more angles selected from the four angles alpha, beta, gamma, and delta ( $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $\delta$ ) can be illuminated at one time. In embodiments that are circularly symmetric, these are conical angles to the optical axis 105. In some embodiments, LED drivers 322 drive  
5 LED light source 308 in one or more banks, as described above for illumination driver 222 of Figure 2.

In other embodiments, Figure 3 represents a linear (i.e., straight line) illumination source, one or more of which are implemented at selected compass angles around object 150 and optical axis 105. In some such embodiments, light  
10 source 308 includes one or more rows of LEDs organized on one or more banks, similarly to the circular arrangement described above.

Figure 4 shows a cut-away side-view schematic of yet another embodiment of the present invention, an electronically-controlled variable-angle machine-vision illumination system 400. In some embodiments, system 400  
15 includes camera 104 that provides images to image processor 202, which drives illumination and angle driver 410 which drives concentric rings of LEDs 412, 413, and 414. In some embodiments, angled ring reflectors 432, 433, and 434 provide steep, medium, and shallow conical reflections angles respectively. Ring 412 includes one or more concentric rows of LEDs, and when activated by driver 410,  
20 provides a steep conical illumination to object 150 as reflected by reflector 432. Similarly, ring 413 includes one or more concentric rows of LEDs, and when activated by driver 410, provides a steep conical illumination to object 150 as reflected by reflector 433; and ring 414 includes one or more concentric rows of LEDs, and when activated by driver 410, provides a steep conical illumination to  
25 object 150 as reflected by reflector 434.

Some embodiments further include a support station 450 (such as a conveyor belt) that supports an object being inspected by the machine vision system, and a selector 451 that rejects that object based on an analysis of the image.

In some embodiments (not shown), a variable-angle liquid-crystal

reflector, such as available from Boulder Nonlinear Systems Incorporated (BNS), a privately-held Colorado business located at 450 Courtney Way, #107 Lafayette, Colorado USA 80026, is used to provide electronically controlled beam reflection at various different angles. For example, in some embodiments, light from an array of LEDs is reflected off of an LCD reflective device such as a Boulder Nonlinear Systems Incorporated 1x4096 optical Beam Steering Array having 4096 pixels, and arranged as a reflective VLSI backplane in ceramic PGA carrier, and having an array size of 7.4 x 7.4 mm with a pixel size of 1  $\mu$ m wide by 7.4 mm high and a pixel pitch of 1.8  $\mu$ m. In some embodiments, a liquid crystalline mixture comprising nematic or chiral smectic liquid crystal active material is used. In some embodiments, this provides an optical modulation (analog) ~ 4 to 6 bits linear modulation levels providing a resolution of 225 different angles and steer angles of  $\pm 3.4^\circ$  (with light wavelength  $\lambda = 1550$  nm), and random selection of steer angle. In other embodiments, a liquid crystal refractor of similar design is used to replace the variable-angle mechanism 310 and 320 of Figure 3.

In various embodiments, image processor 202 of Figure 2 or Figure 3 or Figure 4 obtains one or more images, each at a selected angle (conical angle and/or compass angle) of illumination, examines (e.g., using a computer program) one or more regions of interest to determine the quality of the image obtained, and based on a quality criterion, optionally changes the illumination angle(s) and obtains further images, in order to choose an illumination angle or angles that provide an acceptable image, such as described further below.

Figure 5 shows a flowchart schematic of yet another embodiment of the present invention, an electronically-controlled variable-angle machine-vision illumination method 500. In some embodiments, after start 501, block 510 sets initial illumination and angle parameters (e.g., processor 202 (see Figure 2, 3, or 4) sets drive parameters to illumination driver 222, 322, or 410 and to angle drivers 224, 324, or 410, for example setting the LED pulse width and current amount, selecting which LEDs will be driven, and/or selecting which LCD areas or rings will



be made transparent or polarization angles that are set). Control passes to block 520, where the object(s) 150 is/are illuminated, and an image is obtained (e.g., by imager 104). Control passes to block 530, where the region of interest in the image is located. Control passes to block 540, where the quality of the image within the region of interest is determined (e.g., the contrast amount on certain pins of a pin-grid-array electronic device is checked to generate a quality parameter). Control passes to block 550, where the quality parameter generated by block 540 is compared to a standard (e.g., to an absolute standard value for image quality, or relatively to quality parameters determined for other illumination angle(s), such as when multiple images are taken of an object 150 and compared one to the other(s) to determine which angle yields the “best” image). If, at block 550, it is determined that the image is substandard (relative to an absolute quality standard) or that more images are needed to compare one to the others, then control passes to block 560 where the illumination and/or angle parameter(s) are changed, and the imaging blocks (520-550) are iterated. Once one or more acceptable images are obtained using the various azimuth angle illumination and compass-angle illumination parameters, control passes to block 570, where the image obtained is analyzed and/or stored for further later analysis. Such machine-vision analysis is performed using any process well-known to those of skill in the art; and a determination is optionally made as to whether the quality of the object (e.g., manufactured part) is acceptable or not. If not acceptable, the part is rejected, and/or the manufacturing process is adjusted to generate more acceptable parts. In some embodiments, at block 580, a determination is made as to whether more images are needed (if we are not done, control passes to block 560 to again change the illumination parameters as iterate through the above process), and if we are done, control passes to the finish 590.

Other embodiments of the invention use a piezo-electric mechanism to vary the refractive properties of a ring lens, that is, a lens having a central hole or cutout. In this embodiment, the imager 104 peers through the hole in the ring lens

to an object 150. Another embodiment uses a liquid-crystal panel to vary the angle of reflection of a ring reflector.

### **Conclusion**

5           In some embodiments, the present invention provides a machine-vision system having an optical axis, including a processor; an imager coupled to the processor; means for emitting light; means for selective polarizing the light; and means for selectively reflecting the polarized light in a predetermined direction relative to the optical axis based on its polarization.

10           In some embodiments, the present invention provides a machine-vision system including a processor; an imager coupled to the processor; at least one light source; at least one liquid-crystal panel proximate the one light source; and at least one reflector proximate the one liquid-crystal panel.

15           In some embodiments, the present invention provides a machine-vision system including a processor, an imager coupled to the processor, at least one light source for emitting light, at least one liquid-crystal panel proximate the one light source for selectively changing polarization of the light, and at least one selective reflector proximate the one liquid-crystal panel for selectively reflecting light from the liquid-crystal panel based on its polarization.

20           In some embodiments, the present invention provides apparatus including means for emitting light, means for selective polarizing the light, and means for selectively reflecting the polarized light in a predetermined direction based on its polarization.

25           In some embodiments, the present invention provides a machine-vision system having an optical axis, including a light emitter having a polarization, and an electrically activatable polarized reflector unit for selectively reflecting the light in a predetermined direction relative to the optical axis based on its polarization.

          In some embodiments, the present invention provides an illumination method including emitting light, selectively polarizing the light, and selectively

reflecting the light based on its polarization.

In some embodiments, the present invention provides a machine-vision system having an optical axis, including a light source, means for selectively directing light in a first predetermined direction relative the optical axis based on its polarization, and means for selectively directing light in a second predetermined direction relative to the optical axis based on its polarization.

One aspect of the present invention provides a machine-vision system 100 having an optical axis 105. This system 100 includes a light source 108-110-112 emitting light having a polarization, a machine-vision imager 104 that obtains an image of an object illuminated by the light, a processor 102 coupled to receive an image from the imager, and operative to generate a quality parameter based on the image, and one or more of the various means as described above for selectively directing the light in a predetermined pattern based on its polarization and based on the quality parameter of the image.

In some embodiments, the means for selectively directing the light include a liquid-crystal device 112. In some embodiments, the means for selectively directing the light further include a polarized reflector 114.

Another aspect of the present invention provides a machine-vision system 100, 200, 300, or 400 having an optical axis 105. This system includes a machine-vision imager 104 located along the optical axis 105, a controllable light source (108-110-112, 208-212, 310, or 412-413-414), a first optical element (114, 214, 311, or 432) that selectively directs light in a first predetermined pattern relative to the optical axis based on light characteristics, a second optical element (118, 214, 312, or 433), that directs light in a second predetermined pattern relative to the optical axis, and an electronic controller 102 or 202) operatively coupled to the imager 104 and the controllable light source to control the light characteristics and thereby selecting one or more of the first and second predetermined patterns.

In some embodiments, the controllable light source includes a light source (108), and a controllable polarizer (111) for setting a polarity of the light,

wherein the electronic controller is operatively coupled to the controllable polarizer to control the light polarization characteristics and thereby selecting one or more of the first and second predetermined patterns.

5 In some such embodiments, the first optical element 114 includes a polarized reflector that reflects light polarized on one direction and transmits light polarized in another direction.

In some embodiments, the controllable light source includes a light source 208, and a liquid crystal device (LCD) 212 having two or more areas that are each controllable to selectively transmit light.

10 In some embodiments, the first optical element includes a prism refractor 214 or 311 that refracts light in the first pattern when a first one of the two or more LCD areas transmits light.

15 In some embodiments, the second optical element includes a prism refractor 214 or 312 that refracts light in the second pattern when a second one of the two or more LCD areas transmits light.

In some embodiments, the controllable light source includes a light source 208 or 412-413-414 having two or more banks each having one or more LEDs and each operatively coupled to be activated by the electronic controller, wherein one or more of the banks can be simultaneously activated.

20 In some embodiments the first optical element is a ring reflector 432 situated to reflect only light from a first one of the banks 412, and the second optical element is a ring reflector 433 situated to reflect only light from a second one of the banks 413.

25 In some embodiments, the electronic controller 202 further selects a region of interest of an image, determines an image quality within the region of interest, and selectively controls the first and second light pattern based on the image quality.

Some embodiments further include a support station 450 (such as a conveyor belt) that supports an object being inspected by the machine vision system,

and a selector 451 (see Figure 4) that rejects that object based on an analysis of the image.

Another aspect of the present invention provides an illumination method that includes emitting light, selectively polarizing the light, and selectively directing the light based on its polarization (see Figure 1, 2, and 3 above).

In some embodiments, the selectively directing provides two or more different angles of illumination (e.g., alpha and/or beta). In some such embodiments, the angle is a conical angle of a ring illumination.

In some embodiments, the selectively directing includes selectively reflecting based on polarization. In some embodiments, the selectively polarizing includes electronically driving a liquid-crystal device. In some such embodiments, the selectively directing further includes blocking light of one polarization and refracting light of another polarization (see, e.g., Figure 3).

Yet another aspect of the present invention provides a machine-vision method for inspecting an object. This method includes (a) setting one or more illumination parameters, (b) illuminating the object based on the one or more illumination parameters, (c) obtaining an image of the illuminated object, (d) generating a quality parameter based on an image quality of a predetermined region of interest in the image, and (e) iterating (b), (c), and (d) using a different illumination parameter.

In some embodiments of this method the iterating is based on the quality parameter. In some embodiments, the one or more illumination parameters includes a predetermined azimuth angle of illumination. In some embodiments, the one or more illumination parameters includes a predetermined compass angle of illumination. In some embodiments, the one or more illumination parameters includes a predetermined compass angle of illumination.

The embodiments described above are intended only to illustrate and teach one or more ways of practicing or implementing the present invention, not to restrict its breadth or scope. The actual scope of the invention, which embraces all

ways of practicing or implementing the teachings of the invention, is defined only by the following claims and their equivalents.

**What is claimed is:**

1. A machine-vision system having an optical axis, comprising:  
a light source emitting light having a polarization;  
5 a machine-vision imager that obtains an image of an object illuminated  
by the light;  
a processor coupled to receive an image from the imager, and operative to  
generate a quality parameter based on the image; and  
means for selectively directing the light in a predetermined pattern based  
10 on its polarization and based on the quality parameter of the image.
2. The system of claim 1, wherein the means for selectively directing the  
light include a liquid-crystal device.
- 15 3. The system of claim 1, wherein the means for selectively directing the  
light further include a polarized reflector.
4. A machine-vision system having an optical axis, comprising:  
a machine-vision imager located along the optical axis;  
20 a controllable light source;  
a first optical element that selectively directs light in a first predetermined  
pattern relative to the optical axis based on light characteristics;  
a second optical element that directs light in a second predetermined  
pattern relative to the optical axis; and  
25 an electronic controller operatively coupled to the imager and the  
controllable light source to control the light characteristics and thereby selecting one  
or more of the first and second predetermined patterns.
5. The system of claim 4, wherein the controllable light source comprises:

a light source;

a controllable polarizer for setting a polarity of the light, wherein the electronic controller is operatively coupled to the controllable polarizer to control the light polarization characteristics and thereby selecting one or more of the first and second predetermined patterns.

6. The system of claim 5, wherein the first optical element includes a polarized reflector that reflects light polarized on one direction and transmits light polarized in another direction.

7. The system of claim 4, wherein the controllable light source comprises:  
a light source;  
a liquid crystal device (LCD) having two or more areas that are each controllable to selectively transmit light.

8. The system of claim 7, wherein the first optical element includes a prism refractor that refracts light in the first pattern when a first one of the two or more LCD areas transmits light.

9. The system of claim 8, wherein the second optical element includes a prism refractor that refracts light in the second pattern when a second one of the two or more LCD areas transmits light.

10. The system of claim 4, wherein the controllable light source comprises:  
a light source having two or more banks each having one or more LEDs and each operatively coupled to be activated by the electronic controller, wherein one or more of the banks can be simultaneously activated.

11. The system of claim 10, wherein



the first optical element is a ring reflector situated to reflect only light from a first one of the banks; and

the second optical element is a ring reflector situated to reflect only light from a second one of the banks

5

12. The system of claim 10, wherein the electronic controller further selects a region of interest of an image, determines an image quality within the region of interest, and selectively controls the first and second light pattern based on the image quality.

10

13. The system of claim 4, further comprising:  
a support station that supports an object being inspected by the machine vision system; and  
a selector that rejects that object based on an analysis of the image.

15

14. A illumination method comprising:  
emitting light;  
selectively polarizing the light; and  
selectively directing the light based on its polarization.

20

14. The method of claim 13, wherein the selectively directing provides two or more different angles of illumination.

15. The method of claim 14, wherein the selectively directing includes selectively reflecting based on polarization.

25

16. The method of claim 14, wherein the selectively polarizing includes electronically driving a liquid-crystal device.

17. The method of claim 16, wherein the selectively directing further includes blocking light of one polarization and refracting light of another polarization.

5 18. The method of claim 16, wherein the selectively directing further includes blocking light of one polarization and refracting light of another polarization.

10 19. A machine-vision method for inspecting an object, the method comprising:

- 15 (a) setting one or more illumination parameters;  
(b) illuminating the object based on the one or more illumination parameters;  
(c) obtaining an image of the illuminated object;  
(d) generating a quality parameter based on an image quality of a predetermined region of interest in the image; and  
(e) iterating (b), (c), and (d) using a different illumination parameter.

20 20. The method of claim 19, wherein the iterating is based on the quality parameter.

21. The method of claim 19, wherein the one or more illumination parameters includes a predetermined azimuth angle of illumination.

25 22. The method of claim 21, wherein the one or more illumination parameters includes a predetermined compass angle of illumination.

23. The method of claim 19, wherein the one or more illumination parameters includes a predetermined compass angle of illumination.

### Abstract of the Disclosure

In a machine-vision system for inspecting a part, a method and apparatus to provide high-speed changing and/or automatic adjustment of illumination angle, dispersion, intensity, and/or color of illumination. One such system includes a light source emitting polarized light, a machine-vision imager that obtains an image, a processor coupled to receive the image, and operative to generate a quality parameter based on the image, and one or more of the various means as described above for selectively directing the light in a predetermined pattern based on its polarization and based on the quality parameter of the image. Another machine-vision system includes a machine-vision imager located along an optical axis, a controllable light source, a first optical element that selectively directs light in a first predetermined pattern relative to the optical axis based on light characteristics, a second optical element, that directs light in a second predetermined pattern relative to the optical axis, and an electronic controller operatively coupled to the imager and the controllable light source to control the light characteristics and thereby selecting one or more of the first and second predetermined patterns. A machine-vision method includes (a) setting one or more illumination parameters, (b) illuminating the object based on the one or more illumination parameters, (c) obtaining an image of the illuminated object, (d) generating a quality parameter based on an image quality of a predetermined region of interest in the image, and (e) iterating (b), (c), and (d) using a different illumination parameter.

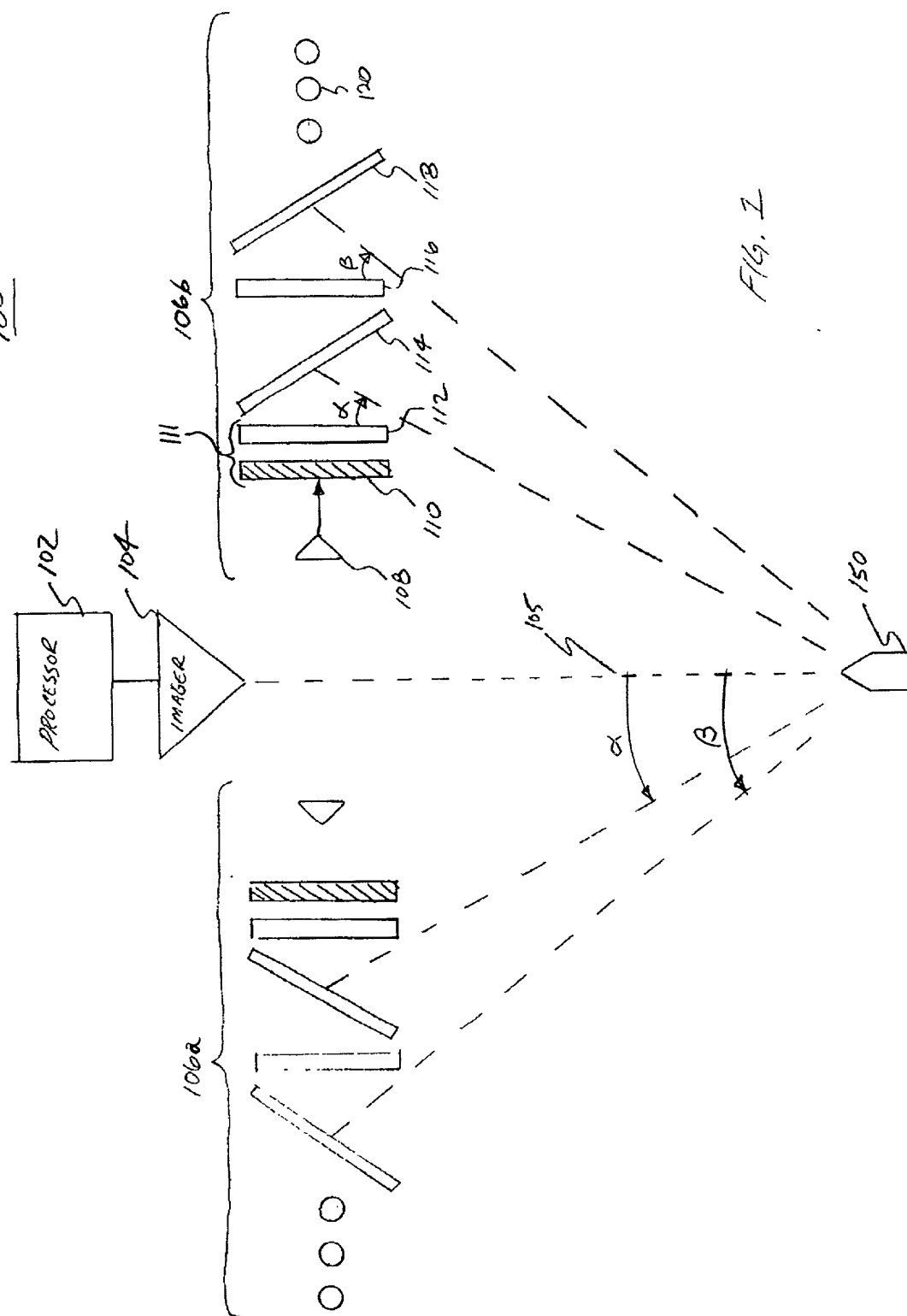
"Express Mail" mailing label number: EL 584 21055145

Date of Deposit: July 10, 2000 (monday)

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Printed Name CANDY BRENDA

Signature Candy Brenda



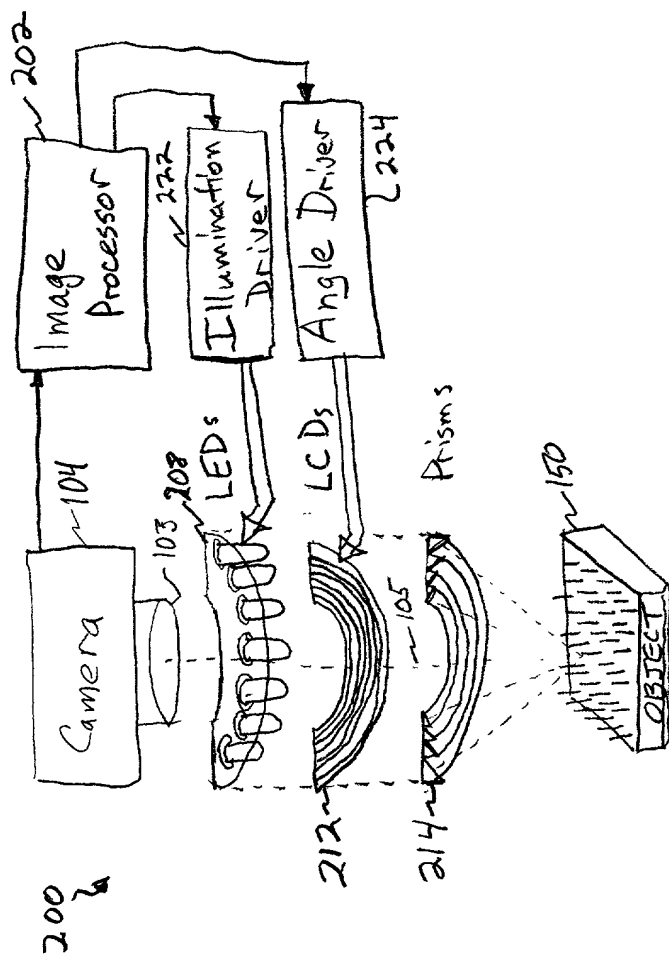


FIG. 2

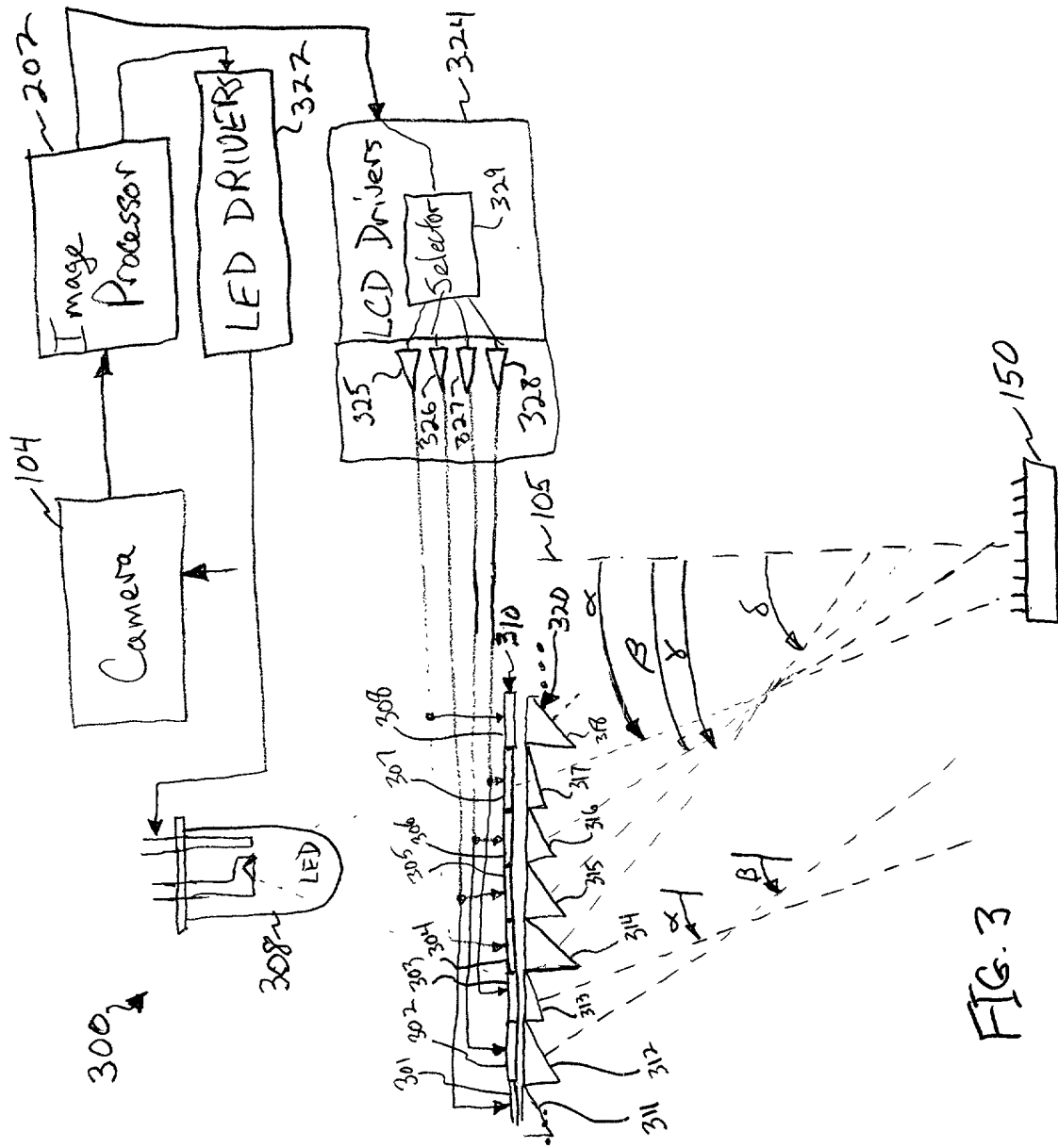
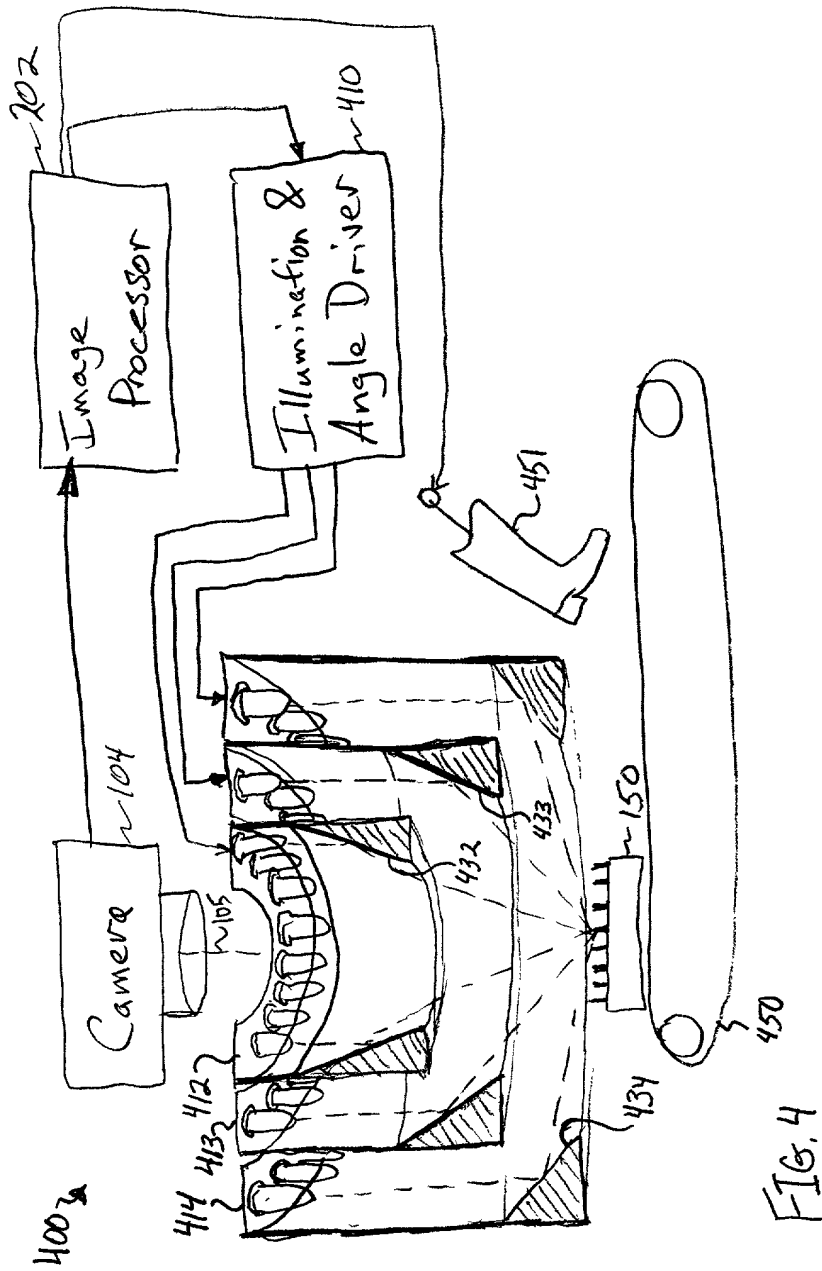


FIG. 3



000720-071000

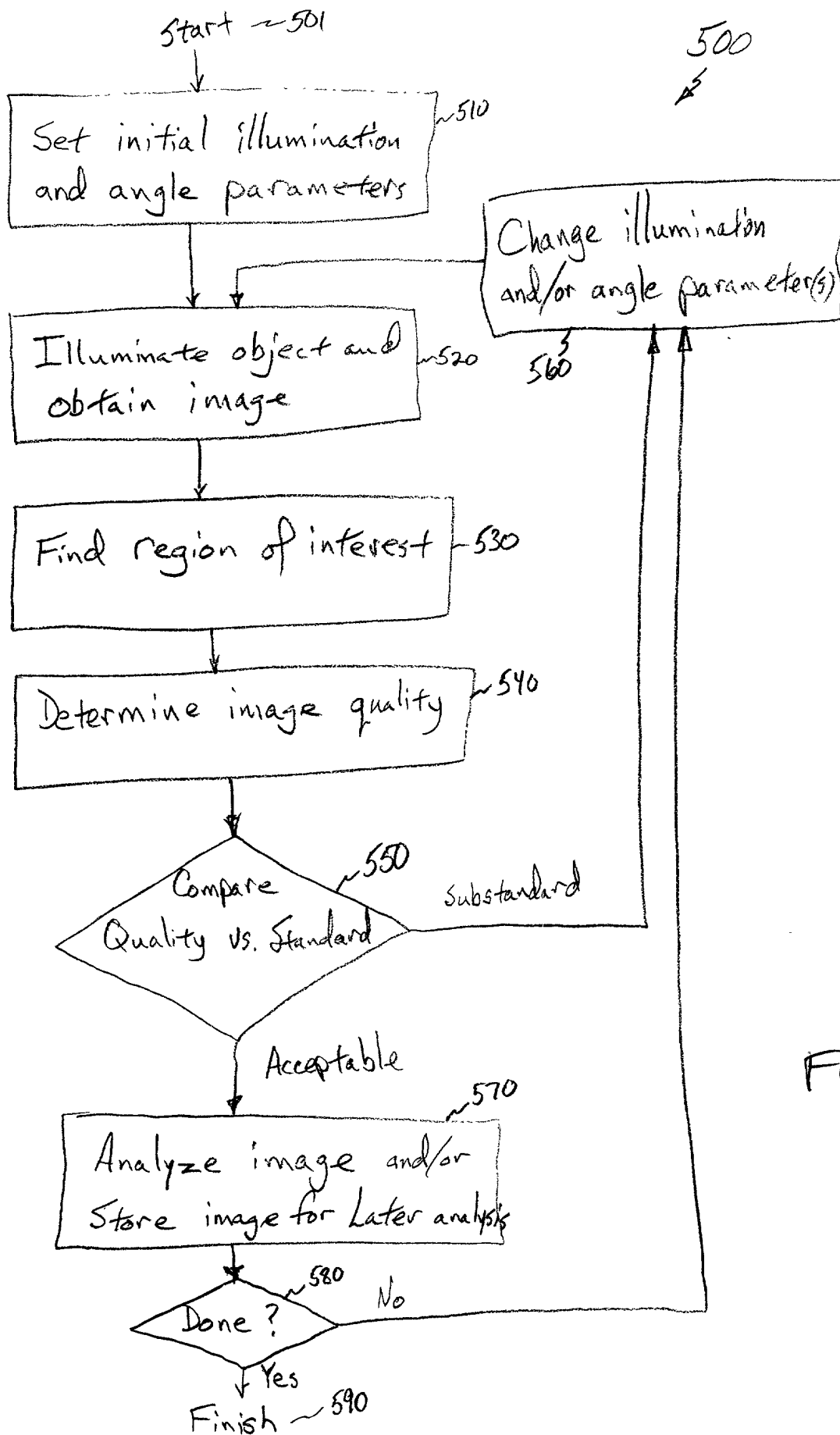


FIG. 5



Attorney Docket No. 139.060US1

SCHWEGMAN ■ LUNDBERG ■ WOESSNER ■ KLUTH

# United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD AND APPARATUS FOR ADJUSTING ILLUMINATION ANGLE.**

The specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. § 1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

No such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

**Application Number**  
60/142,999

**Filing Date**  
July 8, 1999

**Status**  
Provisional

Attorney Docket No.: 139.060US1  
Serial No. not assigned  
Filing Date: not assigned

Page 2 of 3

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Anglin, J. Michael	Reg. No. 24,916	Jurkovich, Patti J.	Reg. No. 44,813	Nelson, Albin J.	Reg. No. 28,650
Bianchi, Timothy E.	Reg. No. 39,510	Kalis, Janal M.	Reg. No. 37,650	Nielsen, Walter W.	Reg. No. 25,539
Billion, Richard E.	Reg. No. 32,836	Kaufmann, John D.	Reg. No. 24,017	Oh, Allen J.	Reg. No. 42,047
Black, David W.	Reg. No. 42,331	Klima-Silberg, Catherine I.	Reg. No. 40,052	Padys, Danny J.	Reg. No. 35,635
Brennan, Leonide M.	Reg. No. 35,832	Kluth, Daniel J.	Reg. No. 32,146	Parker, J. Kevin	Reg. No. 33,024
Brennan, Thomas F.	Reg. No. 35,075	Lacy, Rodney L.	Reg. No. 41,136	Perdok, Monique M.	Reg. No. 42,989
Brooks, Edward J., III	Reg. No. 40,925	Lemaire, Charles A.	Reg. No. 36,198	Prout, William F.	Reg. No. 33,995
Chu, Dinh C.P.	Reg. No. 41,676	LeMoine, Dana B.	Reg. No. 40,062	Schumm, Sherry W.	Reg. No. 39,422
Clark, Barbara J.	Reg. No. 38,107	Lundberg, Steven W.	Reg. No. 30,568	Schwegman, Michael L.	Reg. No. 25,816
Dahl, John M.	Reg. No. 44,639	Mack, Lisa K.	Reg. No. 42,825	Smith, Michael G.	Reg. No. 45,368
Drake, Eduardo E.	Reg. No. 40,594	Maeyert, Paul L.	Reg. No. 40,076	Speier, Gary J.	Reg. No. 45,458
Embretson, Janet E.	Reg. No. 39,665	Maki, Peter C.	Reg. No. 42,832	Steffey, Charles E.	Reg. No. 23,179
Fordenbacher, Paul J.	Reg. No. 42,546	Malen, Peter L.	Reg. No. 44,894	Terry, Kathleen R.	Reg. No. 31,884
Forrest, Bradley A.	Reg. No. 30,837	Mates, Robert E.	Reg. No. 35,271	Tong, Viet V.	Reg. No. 45,416
Gamon, Owen J.	Reg. No. 36,143	McCrackin, Ann M.	Reg. No. 42,858	Vikmins, Ann S.	Reg. No. 37,748
Harris, Robert J.	Reg. No. 37,346	Nama, Kash	Reg. No. 44,255	Woessner, Warren D.	Reg. No. 30,440
Huebsch, Joseph C.	Reg. No. 42,673				

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Kluth, P.A. to the contrary.

Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below:  
P.O. Box 2938, Minneapolis, MN 55402  
Telephone No. (612)373-6900

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of sole inventor: **Gary A. Lebens**  
Citizenship: **United States of America** Residence: **Chaska, MN**  
Post Office Address: **1947 Park Ridge Drive**  
**Chaska, MN 55318**  
Signature: *Gary Lebens* Date: 7-10-00  
Gary A. Lebens

Full Name of inventor:  
Citizenship: Residence:  
Post Office Address:

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Attorney Docket No.: 139.060US1  
Serial No. not assigned  
Filing Date: not assigned

Page 3 of 3

§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.